



FOOD & DRINK
PROCESS OPERATOR
STO199/AP03

END POINT ASSESSMENT
FEEES GUIDE

September 2021



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1. Introduction

This document contains the policy and procedures relating to the costs, fees and circumstances that apply for the provision and fee setting for a Level 2 **Food and drink process operator** Apprenticeship Standard (STO199/AP03). This is a revised Standard replacing the previous AP02 version on the 30th August 2021.

Background

EPA is a method of testing the apprentice's knowledge, skills and behaviors in a consistent way in order to assure quality in the apprenticeship system. It replaces the previous model of continuous assessment used widely in National Vocational Qualifications circa 2011 - 2018. The standard based apprenticeship comprises a period of work and training lasting at least 12 months followed by a up to three discrete and independently delivered end point assessments (exams).

FDQ is the only specialist Food and Drink end point assessment organisation in the UK dedicated to food related examinations and for the past 20 years we have served the food industry as a non-for-profit body with qualification and apprenticeship services approved by the Government and regulated by Ofqual.

FDQ is approved to operate EPAs in 11 Food and Drink related occupational areas and at August 2021 we have delivered over 1,000 EPAs from butchery, bakery to food and drink operations and many other areas. We gained approval in 2016 and were amongst the first end point assessment organisation's to commence operations, our reference code 00011 .

2. Gateway Services

Apprentices must meet the gateway requirements and provide evidence of doing so to FDQ before plans and dates for their EPA can be formally commenced. At gateway, the employer and training provider (if applicable) must be satisfied the apprentice is consistently working at, or above, the level set out in the occupational standard and will complete an FDQ **Gateway Declaration Form** confirming readiness for EPA and be able to provide authenticated evidence (copies of certificates) to confirm achievement of;

- the employer must be content that the apprentice is working at or above the level of the occupational standard. *This will be evidenced by the FDQ declaration for gateway.*
- apprentices must have achieved English and mathematics Level 1 and taken the tests for Level 2

- apprentices must have compiled and submitted a portfolio of evidence to underpin the interview
 - *the evidence (10 x pieces) uploaded to FDQ supports the observation with questions and includes other sources of evidence such as workplace specific policies, requirements or standard operating practices and instructions*

On receipt, FDQ will check and confirm the required gateway evidence has been provided and accepted as meeting the gateway requirements.

Once this has been confirmed, the EPA 3-month period will commence with the apprentice moving into the Gateway.

FDQ will often commence talks with the employer and training provider prior to the gateway stage, however this is formalized following receipt of the entry to gateway evidence of completion.

At these meetings FDQ will go through the EPA requirements including venue details, dates and final fees with this information inserted to a Contract of Services that will underpin the agreement between all parties.

The EPA will be completed within a minimum 12-week assessment window. The whole assessment process is pre-arranged with one of FDQs Service Managers with the EPA conducted by an independent examiner (IE) who submits their initial results & grade to a moderation process at FDQ where upon an outcome is confirmed.

The employer and provider will receive a Statement of Result usually within 21 working days that must be agreed by all parties and upon confirmation FDQ will request the final completion certificate from the Education and Skills Funding Agency (ESFA).

3. Exam Services for this standard

FDQ will provide the end-to-end service package for candidates on the Level 2 **Food and drink process operator** apprenticeship with the apprentice undertaking three discrete exam-based components within a 12-week period

- i **Multiple-choice test**
- ii **On Site Observation with questions**
- iii **Interview underpinned by a portfolio of evidence**

Our service includes a dedicated EPA Manager providing a one-to-one preparation service including a pre-site visit with the employer and apprentice to ensure all questions can be answered prior to commencing the gateway. Once settled in and the EPA is

scheduled FDQ will arrange for trained and experienced Food Examiner to conduct the EPA at a convenient time including night and weekends if necessary.

4. Fee Information

FDQ requires the agreed fee to be paid and collected at the earliest opportunity. This means, except in cases where the cost is not known, all fees will be collected within 30 days of the invoice. If payment is not made or evidence of a third-party commitment to pay is not produced the EPA cannot take place.

FDQ will generate the invoice for the EPA once contracts have been issued **usually 7 days** prior to the appointed EPA date.

Information on fees as contained in this document will be made available to employers to enable them to negotiate and agree the fee with payment to be routed via their preferred RoAPT approved training provider.

Payment and Fee Collection

Fees can be paid by any of the following methods:

| Choose one of methods below | |
|-----------------------------|---|
| 1 | For FDQ centers the usual BACs based payment system applies |
| 2 | For non-FDQ centers providers can set up a payment facility via our online registration system (FDQ awards) and this enables information/payments to be uploaded to gateway via a secured portal. |

5. The end point assessments explained

The apprentices will undertake **three discrete** end point assessments

Assessment One - Knowledge Test

The Knowledge Test consists of 40 x multiple-choice questions that assesses the apprentices underpinning knowledge and understanding. The KT is independently invigilated with a 60-minute time limit in a closed book environment. The KT will test understanding across a range of areas as specified in the Standard.

The questions will be presented in two sections, a core multiple choice question (MCQ) format (40 questions 1 mark each. The KT grading boundary is fail or pass with apprentices grade

| Acceptable achievement – Pass | Distinction |
|-------------------------------|-------------|
| Minimum >28 marks | 33 to 40 |

Assessment Two - On-site Observation with Questions

An observation with questions requires FDQs independent examiner to observe and question an apprentice undertaking work as part of their normal duties, in the workplace. This allows for a practical demonstration of the Knowledge Skills and Behaviors (KSBs) through naturally occurring evidence. The apprentice will answer questions in relation to KSBs that have not been observed

The observation with questions will take 2 hours (assessment time) allowing for comfort breaks as necessary and/or moving from one location to another as required. The observation will be marked and scored using robust methods of assessment. The observation will result in a **fail, pass, or distinction** grade. FDQ will provide a range of sample materials, mock examples and specifications for employers and providers that will assist preparations for the apprentices.

Assessment Three - Interview underpinned by a portfolio of evidence

The formal interview consists of an independent examiner asking the apprentice a series of questions to assess their competence against the KSBs. The examiner leads this process to obtain information from the apprentice to enable a structured assessment decision-making process. This assessment method allows the apprentice to showcase their KSBs on tasks that may not occur on a predictable or regular basis. In addition, apprentices can offer a range of potential answers that cannot be tested through the multiple-choice test.

Usually conducted onsite, this EPA can equally be conducted remotely, and the both the examiner and apprentice can refer to the portfolio of evidence to support the q&a process. The interview must last for 45 minutes comprising a minimum of 6 open competence-based questions with additional follow ups to seek clarification and to

make judgements against the grading descriptors.

Apprentices shall have access to their portfolio of evidence during the interview. Apprentices can refer to, and illustrate their answers, with evidence from their portfolio of evidence, however the portfolio of evidence is not directly assessed.

Assessment three will result in a fail or pass grade.

Overall Grading

The above three EPAs methods will determine the overall apprenticeship Standard grade of **fail, pass, merit or distinction**.

6. Fee setting principles

The Government sets the funding rate for all apprenticeships and the FDTO was assigned £5,000 valuation in Aug 21. A maximum of 20% of this rate is ring fenced to cover the costs of the EPA, therefore up to £1,000 is the maximum available for this standard (AP06), although circumstances can sometimes mean a reduction is negotiated.

The final fee for an the FDPO apprenticeship standard end-point assessment may vary as FDQ in discussion with the employer / provider will consider the following dependent factors:

- **Dual EPAs** – Instances where at least two apprentices from the same employer/workplace are assessed in the same location on a single day
- **Multiple EPAs** – Where several apprentices from the same company are going to be using FDQ for their EPA services over a period of time.
- **Geographical** – Where apprentices may be in areas requiring extended travel and, in some cases, overnight accommodation for examiners.
- **Late/Early Shift** – FDQ appreciates the food industry is often a 24/7 working environment so there may be times when FDQ conforms to the preferred overnight/weekend shift patterns that suit the apprentice

In summary, the guiding principle for FDQ to be transparent about the fee setting calculation and where savings are applicable to share these with the employer/provider.

Example Single apprentice fee

| | Single apprentice takes their EPA in an external assessment facility | Rate |
|---|---|---|
| Single applicant | Price is fixed on the following assumptions 1. The employer will offer the facility on a free of charge basis for EPAs to be carried out. 2. The provider will ensure the necessary 'controlled' environment is sufficiently isolated for the EPAs | £1,000 Single Rate |
| Setting | FDQ will accommodate early starting times and weekends as required but certain surcharges may apply. | |
| Additional Discounts 1 | FDQ will apply a 10% reduced rate for occasions where at least two apprentices undertake their EPA on the same day(s) in the same place. For eg 2 x apprentices undertake a 10% discount = £900 each. In this instance the fee would be lowered to £1,800 for each of the two candidates instead of £2,000 | |

Other scenarios may occur and fees will need to be set on a case by case basis with discrete discounts applying for different types/volumes as considered at the discretion of the CEO.

7. Guidance for re-sits/re-takes

Where an apprentice may fail one or more assessment method(s) FDQ will ensure they receive a result notification with constructive feedback on the areas of weakness, this will help them and their provider to focus and refresh for the next time.

Working with the provider and employer, FDQ will offer the opportunity to take a re-sit or a re-take as applicable. A re-sit does not require further learning, whereas a re-take does and apprentices should have a supportive action plan to prepare for a re-sit or a re-take.

FDQ will be pleased to provide optional timescales for a re-sit or re-take as applicable, for example a re-sit is typically taken within 2 months of the EPA outcome notification. The timescale for a re-take is dependent on how much re-training is required and is typically taken within 4 months of the EPA outcome notification.

The Government rules expect a re-sit or re-take to be completed within a 6-month period from the EPA outcome notification, otherwise the entire EPA will need to be re-sat or re-taken. The rules for re-sits and re-takes also dictate that apprentices cannot improve their grade from pass to a higher grade.

Fees for re-sits-re-takes

| EPA to be undertaken | Proportion of original fee |
|--|----------------------------|
| <ul style="list-style-type: none">• Knowledge test | 25% |
| <ul style="list-style-type: none">• On Site Observation | 50% |
| <ul style="list-style-type: none">• Interview underpinned by a portfolio of evidence | 25% |

*FDQ will apply discretion as required for re-sits /re-takes

9 Refund of fees

If FDQ cancels an EPA then any fees paid will be automatically refunded to the applicant Organisation.

If the apprentice withdraws from their EPA without providing 24 hours' notice to FDQ the fee remains payable as detailed in the ***EPA Fee Terms and Conditions (Appendix A)*** and any fees paid will not be refunded. This always applies and, in all situations, including changes of circumstance and cases of hardship.

If the learner is not able to undertake their EPA on account of ill health then, on the production of medical evidence (e.g. a doctor's note), then a postponement may apply and the EPA could be rescheduled. On occasions where severe weather causes a postponement then some discretion will apply, however FDQ will always endeavor to factor in weather conditions in advance.

The FDQ Chief Executive must approve any refunds/credit notes before issue.

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